PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

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NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II
OF THE PATENT COOPERATION TREATY)

(PCT Rules 44bis.3(c) and 72.2)

To:

GROSS, Felix c/o Patentanwālte Maikowski & Ninnemann Postfach 15 09 20 10671 Berlin ALLEMAGNE

| Date of mailing (day/month/year) 11 May 2006 (11.05.200 | Maikowski & Ninnemanr 6) Eingegangen | | | | | |
|---|---|----|--|--|--|--|
| Applicant's or agent's file reference AMG166WO | 18. Mai 2006 U | | IMPORTANT NOTIFICATION | | | |
| International application No. PCT/EP2004/005882 | Frist: Geprüft: | Im | ternational filing date (day/month/year) 01 June 2004 (01.06.2004) | | | |
| Applicant AMI AGROLINZ MELAMINE INTERNATIONAL GMBH et al | | | | | | |

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|----|------------------|------|--------------|----|----------------|
| 1 | Transmittal | ftha | two malation | 4. | 41 |
| 1. | i i alisimitai v | ı me | uansiauon | w | the applicant. |
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| / | The International Bureau transmits patentability (Chapter I). | herewith a copy of the English translation of the i | nternational preliminary report on |
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The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

None

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter Π).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Yolaine Cussac

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 70 80

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

| Applicant's or agent's file reference AMG166WO | FOR FURTHER ACTION | See item 4 below | |
|--|--|--|---|
| International application No. PCT/EP2004/005882 | International filing date (day/month/year) 01 June 2004 (01.06.2004) | Priority date (day/month/year) 12 June 2003 (12.06.2003) | |
| International Patent Classification (8t See relevant information in Form I | h edition unless older edition indicated) PCT/ISA/237 | | , |
| Applicant AMI AGROLINZ MELAMINE INTE | RNATIONAL GMBH | | |

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|---------|---|--|---|--|--|--|--|--|--|
| 1. | This international preliminary numbers International Searching Authority | eport on patentability (C ty under Rule 44 bis.1(a | hapter I) is issued by the International Bureau on behalf of the). | | | | | | |
| 2 | | | | | | | | | |
| | This REPORT consists of a total | | | | | | | | |
| | In the attached sheets, any refer to the international preliminary | ence to the written opini report on patentability (| on of the International Searching Authority should be read as a reference Chapter I) instead. | | | | | | |
| 3. | This report contains indications | relating to the following | items: | | | | | | |
| | Box No. I | Basis of the report | · | | | | | | |
| | Box No. II | Priority | | | | | | | |
| | Box No. III | Non-establishment o applicability | f opinion with regard to novelty, inventive step and industrial | | | | | | |
| | Box No. IV | Lack of unity of inve | ention · | | | | | | |
| | Box No. V | Reasoned statement applicability; citation | under Article 35(2) with regard to novelty, inventive step or industrial as and explanations supporting such statement | | | | | | |
| | Box No. VI | Certain documents c | ited | | | | | | |
| | Box No. VII | Certain defects in the | e international application | | | | | | |
| | Box No. VIII | Certain observations | on the international application | | | | | | |
| | | | | | | | | | |
| 4. | The International Bureau will conot, except where the applicant date (Rule 44bis .2). | ommunicate this report to makes an express reques | designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but tunder Article 23(2), before the expiration of 30 months from the priority | | | | | | |
| | | | | | | | | | |
| | | | Date of issuance of this report 01 May 2006 (01.05.2006) | | | | | | |
| | The International Bure 34, chemin des Col 1211 Geneva 20, Sv | ombettes · | Authorized officer Yolaine Cussac | | | | | | |
| | ile No. +41 22 740 14 35 | | Telephone No. +41 22 338 70 80 | | | | | | |
| Form P | CT/IB/373 (January 2004) | | | | | | | | |

PATENT COOPERATION TREATY

| From the INTERNATIONAL | SEARCHING AUTHOR | RITY | | | | |
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| Го: | | ` | | PCT | Salion. | |
| • | | | | RITTEN OPINION OF THE IONAL SEARCHING AUTHOR | RITY | |
| | · | | • | (PCT Rule 43bis.1) | | |
| | ··································· | | Date of mailing (day/month/year) | | | |
| Applicant's or agent | 's file reference | | FOR FURTHER | ACTION | | |
| AMG166WO | | • | | See paragraph 2 below | | |
| International applica | ation No. | International filing date (| day/month/vear) | Priority date (day/month/year) | | |
| | 04/005882 | 01.06.2004 | | 12.06.2003 | | |
| International Patent | Classification (IPC) or both | h national classification and | d IPC | | | |
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| Applicant | | | | | | |
| AMI AGRO | LINZ MELAMINI | E INTERNATIO | NAL GMBH | | | |
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| 1. This opini | on contains indications rela | ting to the following items | : | | | |
| В | ox No. I Basis of the | opinion | | • | | |
| N Re | ox No. II Priority | | , | | | |
| | • | | | • | | |
| | | shment of opinion with reg | ard to novelty, invent | ive step and industrial applicability | | |
| | ox No. V Reasoned st | (C. (iii)) | l(a)(i) with regard to i | novelty, inventive step or industrial | | |
| Во | | uments cited | is supporting such stat | ement | | |
| Bo | ox No. VII Certain defe | ects in the international app | lication | | | |
| Bo | ox No. VIII Certain obse | ervations on the internation | al application | | | |
| 2. FURTHE | R ACTION | | • | • | | |
| If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. | | | | | | |
| wniien rej | oly together, where approp | considered to be a written oriate, with amendments, of 22 months from the pri | before the expiration | the applicant is invited to submit to of 3 months from the date of mailinexpires later. | the IPEA a | |
| For further | options, see Form PCT/IS. | A/220. | | | | |
| 3. For further | details, see notes to Form | PCT/ISA/220 _: | | • | | |
| | · | · · | | | | |
| Name and mailing a | ddress of the ISA/EP | | Authorized officer | | | |
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| ; | | · | | | | |
| Facsimile No. | | | Telephone No. | • | | |

International application No.
PCT/EP2004/005882

| Box | No. I | Basis of this opinion |
|-----|----------------|---|
| 1. | With filed. | egard to the language, this opinion has been established on the basis of the international application in the language in which it was inless otherwise indicated under this item. |
| | | This opinion has been established on the basis of a translation from the original language into the following language |
| | | which is the language of a translation furnished for the purposes of international search (under Rule 12.3 and 23.1(b)). |
| 2. | With | egard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed on this opinion has been established on the basis of: |
| | a. | ype of material |
| | · | a sequence listing |
| | | table(s) related to the sequence listing |
| | φ. | ormat of material |
| | | in written format in computer readable form |
| | c. | me of filing/furnishing |
| | [| contained in the international application as filed. |
| • | ļ | filed together with the international application in computer readable form. |
| | | furnished subsequently to this Authority for the purposes of search. |
| 3. | | n addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or urnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as iled or does not go beyond the application as filed, as appropriate, were furnished. |
| 4. | Addit | onal comments: |
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International application No.

PCT/EP2004/005882

| Box | No. II Prior | ity ' | | | | |
|-----|-------------------------------|---|------------------------|-------------------------|-----------------------------|--------------------------|
| 1. | The following | g document has not yet been | furnished: | | | |
| | copy of | the earlier application whose | e priority has been o | laimed (Rule 43bis.1) | and 66.7(a)). | |
| | 1 | on of the earlier application | | | | |
| | Consequently the assumption | it has not been possible to contain the that the relevant date in the | onsider the validity | of the priority claim. | This opinion has neverthe | less been established on |
| 2. | | has been established as if n | | | act that the priority claim | has been found in which |
| | (Rules 43bis.) relevant date. | l and 64.1). Thus for the pu | rposes of this opinion | on, the international f | iling date indicated above | is considered to be the |
| 3. | Additional observat | ions, if necessary: | | | | |
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International application No.
PCT/EP2004/005882

| Box No. | III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability |
|-------------|---|
| The ques | stions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially e have not been examined in respect of: |
| | the entire international application |
| \boxtimes | claims Nos. <u>14-16</u> |
| becau | the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify): |
| | |
| - | See supplemental sheet, |
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| | the description, claims or drawings (indicate particular elements below) or said claims Nos. 14-16 are so unclear that no meaningful opinion could be formed (specify): |
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| | the claims, or said claims Nos are so inadequately supported |
| | by the description that no meaningful opinion could be formed. |
| | no international search report has been established for said claims Nos. |
| | the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that: |
| | the written form has not been furnished |
| | does not comply with the standard |
| | the computer readable form has not been furnished |
| | does not comply with the standard |
| | the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions. |
| | See Supplemental Box for further details. |

International application No.

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| Box | No. V Reasoned stateme | nt under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; anations supporting such statement | . |
|-----|-------------------------------|--|------------------|
| 1. | Statement | | |
| | Novelty (N) | | ES |
| | · | Claims No | 0 |
| | Inventive step (IS) | Claims 1 - 13 Y | ES |
| • | • | Claims | 0 |
| | Industrial applicability (IA) | Claims 1 - 13 | ES |
| | • | Claims NO | 0 |
| 2. | Citations and explanations: | | · |
| | V-1. Prior art | | |
| | | | |
| | D1: WO 9 | 9/00374 A (NOE SERGIO; EUROTECNICA | |
| | | RACTORS AND EN (IT)) 7 January 1999 | |
| | | 9-01-07) | |
| | | 7/34879 A (DSM NV; HAAN ANDRE BANIER DE | |
| | • | ; SAMPERS THEODORUS JOSEPHUS ANN (NL) | |
| | | eptember 1997 (1997-09-25) | |
| , | | | |
| | • | 2/12206 A (AGROLINZ MELAMIN GMBH; | |
| | | AMIJAMAL FARAMARZ (AT); BUCKA HARTMUT | |
| | | 14 February 2002 (2002-02-14) | - |
| | | 2/34730 A (AGROLINZ MELAMIN GMBH; BUCKA | |
| | | MUT (AT); COUFAL GERHARD (AT); KOGLG) | |
| | 2 May | y 2002 (2002-05-02) | |

V-2. Novelty (PCT Article 33(2))

The methods disclosed in D1-D4 differ from those defined in claim 1 in that the pressures and temperatures used therein during the urea pyrolysis (<400°C, <300 bar) are not accompanied by supercritical conditions under which a homogeneous phase would be formed.

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Box No. V

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Claims 1-13 therefore meet PCT Article 33(2).

V-3. Inventive step (PCT Article 33(3))

D1-D4 disclose non-catalytic high-pressure methods of preparing melamine from urea. The problem to be solved by the present application can be seen as being that of finding alternative procedures.

The solution specified is that of pyrolysis under supercritical conditions. Since there is no mention anywhere in D1-D4 of the possibility of pyrolysis under supercritical conditions in a homogeneous phase, the present solution is not regarded as being obvious.

Claims 1-13 meet PCT Article 33(3).

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Box III

Claims 14-16 are regarded as lacking clarity (PCT Article 6) to such an extent that it is not possible to deliver an opinion on novelty or inventive step. In accordance with PCT Rule 6.3(a) the claims shall define the scope of protection by means of technical features. Claims 14-16, however, define only part of the apparatus used (the tubular reactor), without further specifying what additional features and/or apparatus are needed "to implement the method according to claim 1", i.e. for the pyrolysis of urea under supercritical conditions. Tubular reactors are known (see D1, for instance). It is unclear what apparatus claims 14-16 are intended to define. A meaningful comparison with the prior art is therefore impossible.